



The
UNIVERSITY
of VERMONT

University Financial Services

To: Principal Investigators

From: Michael Meunier, Assistant Controller
Cost Accounting Services

Date: April 23, 2018

Subject: [Exceptions to the PI Minimal Effort on Sponsored Proposals](#)

The University's [Effort Management and Reporting Policy](#) now clarifies additional exceptions to the 1% minimal effort requirement by Principal Investigators. This policy clarification adds minimal effort commitment exceptions for travel awards, institutional conference grants and industry/ NCTN clinical trials. The updated exceptions are as follow:

Minimal 1% effort commitment Exceptions:

- No minimum commitment of effort is expected from PIs on equipment and instrumentation grants, doctoral dissertation grants, student augmentation grants and individual fellowships.
- No minimum commitment of effort is expected from PIs on travel awards which provide funding to defray cost of attending academic or professional conferences. (New)
- No minimum commitment of effort is expected from PIs on conference awards that support Institution conference costs only. No minimum commitment of effort is expected from faculty mentors on individual training grants or institutional training grants. (New)
- PI effort commitments on industry funded clinical trials or trials funded under awards made from the federal National Clinical Trial Networks are implicit but are not required to be explicitly stated in these types of proposals. However, effort commitments on these type of awards must be taken into account in determining effort availability to commit to other sponsored projects and meeting effort commitment disclosures to sponsors. PI effort is not required for those budget periods where there is no activity on the study and no procedures on patients. (New)

[Please direct any questions related to these additional exceptions to your SPA representative.](#)